Case 1:20-cv-00484-LO-TCB Document 463-1 Filed 12/21/21 Page 1 of 16 PageID# 13747

AO 10 Rev. 1/2012

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
O'Grady, Liam	USDC- EDVA	05/29/2012
Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active United States District Judge	5a. Report Type (check appropriate type) Nomination Date Initial Annual Final 5b. Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
. Chambers or Office Address	· ·	•
401 Courthouse Square Alexandria, Virginia 22314		
	instructions accompanying this form must be followed. Comp ach part where you have no reportable information. Insert sign	
POSITIONS. (Reporting individual only; see pp. 9-13 of NONE (No reportable positions.)	(iling instructions.)	
POSITION	NAME OF ORG	ANIZATION/ENTITY
<u> </u>		
II. AGREEMENTS. (Reporting individual only; see p	p. 14-16 of filing instructions.)	
▼ NONE (No reportable agreements.)		
DATE	PARTIES AND TERMS	

		Name of Person Report		ge 2 of 16 PageID# 1374
FINANCIAL DISCLO Page 2 of 16	SURE REPORT	O'Grady, Liam	ing	05/29/2012
				3000e1994c19700
II. NON-INVESTMEN	NT INCOME. (Reports	ng individual and spouse; see	pp. 17-24 of filling instruction	is.)
. Filer's Non-Investment I	ncome			
NONE (No reportable	non-investment income.)		
DATE		SOURCE AND T	TYPE	INCOME (yours, not spouse's)
Spouse's Non-Investment		d during any portion of the re	porting year, complete this se	ection.
NONE (No reportable	non-investment income.)		
DATE		SOURCE AND T	YPE	
V. REIMBURSEMEN	TS – transportation, lodging, j	ood, entertainment.		
cludes those to spouse and dependent co		ructions.)		
NONE (No reportable	reimbursements.)			
SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDE
				_
38	i de	59/2		
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	-			_

INANCIAL DISCLOSURE RE	Document 463-1 Filed 12/21/21 P Name of Person Reporting	Date of Repo
age 3 of 16	O'Grady, Liam	05/29/201
. GIFTS. (Includes those to spouse and dependen	t children; see pp. 28-31 of filing instructions.)	
NONE (No reportable gi/ts.)		
SOURCE	DESCRIPTION	VALUE
-95		
<u> </u>		
 <u> </u>		
<u> </u>		
VI. LIABILITIES. (Includes those of spouson ✓ NONE (No reportable liabilities.) CREDITOR	e and dependent children; see pp. 32-33 of filing instructions.) DESCRIPTION	VALUE CODE
		327- 327-

5.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	B. Income during reporting period		Gross val of reporti	lue at end	D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Suntrust Money Market	A	Interest	К	T					
2.	Suntrust IRA	A	Interest	1	T	Distributed (part)	12/01/11	J		
3.	Wachovia IRA	A	Interest	1	Т					
4.	Vanguard - Rolled over to Merrill Lynch IRA -Item 155 below.	D	Int/Div.			Distributed	03/17/11	М		
5.	Brokerage Account #1 (Nos. 6 through 74)			8			, T			
6.	- Lilly Eli Stock	A	Dividend			Sold	03/15/11	J	A	
7.	- Aflac Inc Stock	A	Dividend	J	Т	Buy	09/22/11	J		
8.	- Abbott Labs Stock	A	Dividend	J	Т					
9.	- Bard CR	A	Dividend	J	Т		7			
10.	- Baxter International	A	Dividend	J	Т					
11.	- ADP, Inc.	A	Dividend	J	Т	Sold (part)	06/21/11	J	A	
12.	- AT&T	A	Dividend	J	Т	Sold (part)	06/21/11	1	A	
13.	- Baker Hughes, Inc.	A	Dividend	J	Т					
14.	- American Express	A	Dividend	J	Т					
15.	- Colgate Stock	A	Dividend	J	Т	Sold (part)	09/22/11	J	A	
16.	- Dover Corp Stock	A	Dividend	J	Т	Va 2003,094003	*			
17.	- Raymond James & Associates Checking Account	A	Interest	К	Т					

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less (See Columns C1 and D3) N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =550,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

3. Value Method Codes Q =Appraisal (See Column C2) U -Book Value

R =Cost (Real Estate Only) V =Other

S =Assessment W-Estimated

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Name of Person Reporting

O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	100000	B. Income during reporting period		lue at end ng period	D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	- Exxon Mobil Stock	A	Dividend	J	Т					
19.	- Nextra Energey Inc.	A	Dividend	1	Т					
20.	- Best Buy, Inc.	A	Dividend			Sold	03/15/11	1	A	
21.	- Cognizant Technology		None	K	Т	Sold (part)	03/15/11	J	С	
22.	- FedEx Corp Stock	A	Dividend	J	Т					
23.	- Dominion Resources, Inc.	A	Dividend	1	Т	Sold (part)	09/22/11	J	A	
24.	- General Dynamics Stock	A	Dividend	J	Т					
25.	- General MLS Inc.	A	Dividend	1	Т					
26.	- Intel Corp Stock	A	Dividend	J	Т	Sold (part)	11/16/11	J	A	
27.	- IBM Stock	A	Dividend	1	Т	Sold (part)	11/16/11	J	В	
28.	-Genzyme Corporation		None			Sold	04/08/11	J	С	
29.	- Johnson & Johnson Stock	A	Dividend	1	Т					
30.	- Chevron Corporation	A	Dividend	J	Т				- 3	
31.	- Lowes Companies Stock	A	Dividend			Sold	09/22/11	J	A	
32.	- WW Grainger Stock	A	Dividend	1	Т	Sold (part)	09/22/11	J	A	
33.	- Nike, Inc., Class B		None	1	Т					
34.	- McCormick & Company	A	Dividend	J	Т	Buy	09/22/11	J	- 38	

1. Income Gain Codes:	
(See Columns B1 and D4)	
2. Value Codes	

(See Column C2)

(See Columns C1 and D3)

F =\$50,001 - \$100,000 J-\$15,000 or less N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 3. Value Method Codes Q =Appraisal

A =\$1,000 or less

U -Book Value

B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K -515,001 - \$50,000

R =Cost (Real Estate Only)

H1 =\$1,000,001 - \$5,000,000 L -\$50,001 - \$100,000 O-\$500,001 - \$1,000,000

P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W -Estimated

C=\$2,501 - \$5,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

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Name of Person Reporting

Date of Report

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O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.		B.		Y.			D.			
	Description of Assets (including trust assets)	21,05000	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
35.	- Allergan, Incorporated	A	Dividend	J	Т	Buy	06/21/11	J			
36.	- Medtronic Inc. Stock	A	Dividend	J	Т	3	0 0		- 18		
37.	- Illinois Tool Works Stock	A	Dividend	1	Т						
38.	- Amazon.com		None	J	Т	Buy	03/15/11	J			
39.	- Sigma Aldrich Corporation	A	Dividend	J	Т	Sold (part)	06/21/11	J	A		
40.	- Omnicom Group Stock	A	Dividend			Sold	11/16/11	J	A		
41.	- Pepsico Stock	A	Dividend	1	Т						
42.	- Hewlett Packard	A	Dividend			Sold	11/16/11	J	A		
43.	- Schlumberger Stock	A	Dividend	J	Т						
44.	- Stryker Stock	A	Dividend	J	Т	Sold (part)	06/21/11	J	В		
45.	- Royal Dutch Shell	A	Dividend	J	Т	Buy	11/16/11	J			
46.	- Sysco Stock	A	Dividend	J	Т						
47.	- Wal-Mart Stock	A	Dividend	J	Т						
48.	- Amerisourcebergen	A	Dividend	J	Т	Buy	11/16/11	J			
49.	- Ball Corporation	A	Dividend	ı	Т						
50.	- Danaher Corp. Stock	A	Dividend	J	Т	Sold (part)	03/15/11	J	A		
51.	- Concoco Phillips	A	Dividend	J	Т	Sold (part)	03/15/11	J	A		

1. Income Gain Codes: (See Columns B1 and D4) A =\$1,000 or less

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 E =\$15,001 - \$50,000

2. Value Codes (See Columns C1 and D3) F =\$50,001 - \$100,000 J=\$15,000 or less N =\$250,001 + \$500,000 P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes (See Column C2)

Q=Appraisal U =Book Value R =Cost (Real Estate Only)

S=Assessment W -Estimated

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

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O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or transactions.)

	A.		B.	(1.	D. Transactions during reporting period					
	Description of Assets (including trust assets)	11,165,1400	me during ting period		lue at end ing period						
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
52.	- Cisco Systems Stock		None	J	Т	Sold (part)	06/21/11	J	A		
53.	- ITT Corporation Stock	A	Dividend	J	Т	Sold (part)	11/16/11	1	A		
54.	- United Technologies Stock	A	Dividend	J	Т						
55.	- Costco Wholesale Stock	A	Dividend	J	Т						
56.	- Amgen Stock		None	J	Т						
57.	- Express Scripts, Inc.		None	J	Т	Buy	03/15/11	J			
58.	- Microsoft Stock	A	Dividend	J	Т		7				
59.	- Oracle Corp.	A	Dividend	1	Т						
50.	- Proctor & Gamble Stock	A	Dividend	J	Т						
51.	- Church & Dwight	A	Dividend	J	Т	Sold (part)	09/22/11	J	В		
62.	- Clorox Company	A	Dividend	J	Т		7				
63.	- Walgreen Stock	A	Dividend	J	Т	Sold (part)	06/21/11	J	A		
64.	- Bank of America	A	Dividend	J	Т						
65.	- Emerson Electric Stock	A	Dividend	J	Т	Sold (part)	12/09/11	J	A		
66.	(a)			T.							
67.	- 3M	A	Dividend	J	Т						
68.	- Google, Inc.		None	J	Т	Buy	09/22/11	J			

 Income Gain Codes: 	
(See Columns B1 and	(D4)

A =\$1,000 or less F=\$50,001 - \$100,000 2. Value Codes J =\$15,000 or less (See Columns C1 and D3)

N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

U -Book Value

B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K -\$15,001 - \$50,000 O-\$500,001 - \$1,000,000

H1 =\$1,000,001 - \$5,000,000 L =550,001 - \$100,000 P1-\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

3. Value Method Codes

(See Column C2)

R =Cost (Real Estate Only) Q =Appraisal V =Other

S=Assessment W -Estimated

C=\$2,501 - \$5,000

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

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O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or	transactions.)			

	A. Description of Assets (including trust assets)	B. Income during reporting period		Gross va	C. lue at end ing period	D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
69.	- JP Morgan Chase Stock	A	Dividend	1	Т						
70.	- Stericycle, Inc.		None	1	Т	Buy	06/21/11	J			
71.	- Target Corporation Stock	A	Dividend	J	Т	3	3 3		. 38		
72.	- Wells Fargo & Co Stock	A	Dividend	ş:		Sold	02/04/11	J	A		
73.	- Verizon, Inc.		None	1	Т	Buy	11/16/11	J			
74.	- Sanofi Contgnt Val		None	1	Т	Buy	06/02/11	J			
75.		8- 8		82		3	3 8		3.5		
76.	Dreyfus Prem Worldwide Stock	A	Dividend	J	Т						
77.	Jefferson Pilot Ins. Policy	A	Interest	J	w						
78.	Trust #1 (Items 79-	D	Int/Div.	N	Т						
79.	- Mainstay Funds, Lg Cap Growth	8- 8		82		Buy	08/23/11	J	3.8		
80.				S-		Buy	12/08/11	J			
81.						Sold (part)	01/14/11	J	A		
82.	85A					Sold (part)	02/23/11	J	A		
83.	8#3			87		Sold (part)	05/23/11	J	A		
84.				Q1		Sold (part)	11/16/11	J	A		
85.	- High Mark Geneva Mid Cap Growth					Buy	02/28/11	J			

1.	Income Gain Codes:
	(See Columns B1 and D4)
2.	Value Codes
	(See Columns C1 and D3)

A =\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N =5250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =550,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S=Assessment

W -Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

3. Value Method Codes (See Column C2)

Q=Appraisal U =Book Value R =Cost (Real Estate Only) V=Other

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O'Grady, Liam

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
86.					Buy	08/23/11	J			
37					Sold (part)	05/23/11	J	A		
88 Fidelity Advisor Int Muni					Buy	02/23/11	L			
89			87		Buy	08/23/11	J	18		
90					Buy	12/13/11	J			
91					Sold (part)	05/23/11	K	A		
92.										
93.			87							
94.			2							
95 Artisans Fds Inc. Small Cap Value Fund					Sold (part)	02/23/11	J	A		
96.					Sold (part)	05/23/11	J	A		
97.			80		Sold (part)	11/16/11	J	A		
98.			,		Buy	08/23/11	J			
99 Artisan Fds Inc. Mid-Cap Value fund					Sold (part)	02/23/11	J	A		
100.					Sold (part)	05/23/11	J	A		
101.					Sold (part)	11/16/11	J	A		
102.			01		Buy	08/23/11	J			

	(See Columns B1 and D4)
2.	Value Codes
	(See Columns C1 and D3)

A =\$1,000 or less F=\$50,001 - \$100,000 J =\$15,000 or less N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q=Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K -\$15,001 - \$50,000 () -\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1-\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W-Estimated

D=\$5,001 - \$15,000 E=\$15,001 - \$50,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000

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O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
03 Blackrock US Opportunities			0.7	0.	Sold	02/23/11	1	С		
04.										
05 Buffalo Small Cap Fund					Sold (part)	02/23/11	J	A		
06.					Sold (part)	05/23/11	J.	A		
07.					Buy	08/23/11	J			
08.										
09 Dodge & Cox #145					Sold (part)	02/23/11	J	A		
10.					Sold (part)	05/23/11	J	A		
11.					Sold (part)	11/16/11	J	A		
12.					Buy	08/23/11	J			
13 American Europacific Grth - F2					Sold (part)	02/23/11	J	A		
114.					Buy	05/23/11	1			
15.					Buy	08/23/11	J			
116.										
117										
18.										
119.			(C							

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W-Estimated

D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000

E=\$15,001 - \$50,000

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O'Grady, Liam

05/29/2012

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE	(No re	portable	income.	assets.	or	transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
20.			-11							
21 Principal L/G Growth Fund - IS					Buy	08/23/11	J			
22.					Sold (part)	01/14/11	J	A		
23.					Sold (part)	01/14/11	J	A		
24 Thornburg Int'l. Value Fund					Buy	05/23/11	J			
25.					Sold (part)	02/23/11	J	A		
26.					Buy	08/23/11	J			
27.										
28 Thornburg Ltd Term Muni Fund			8		Buy	02/23/11	J	1 12		
29.					Sold (part)	01/14/11	J	A		
30.					Sold (part)	08/23/11	K	A		
31.					Buy	05/23/11	J			
32 Vanguard Tax Exempt Fund					Sold (part)	01/16/11	J	A		
133.					Sold	02/23/11	L	A		
34.										
35.										
136	1		87		9	9 8		1 2		

1.	Income Gain Codes:
	(See Columns B1 and D4)
2.	Value Codes
	(See Columns C1 and D3)

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K -\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment

D=\$5,001 - \$15,000 E=\$15,001 - \$50,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000

T -Cash Market

3. Value Method Codes (See Column C2)

Q =Appraisal U -Book Value R -Cost (Real Estate Only) W -Estimated Case 1:20-cv-00484-LO-TCB Document 463-1 Filed 12/21/21 Page 12 of 16 Pa

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A.		B. Income during		C.		D.					
Description of Assets (including trust assets)		rting period	Gross value at end of reporting period		Transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)		
37 Pioneer Cullen Value Fund C	lass Y				Buy	08/23/11	J				
38.		8			Sold (part)	01/19/11	J	A			
139			51. (C		Sold (part)	05/23/11	J	A			
140.											
141.											
42 Regions Trust MMA		St.	8		3						
143 Life Insurance Dixie National			91								
144 Life Insurance Liberty Nation	al										
45. Wells Fargo Cking/ Money Ma (formerly Wachovia Bank	rket A	Interest	1	Т							
146. United Security Bancshares	В	Dividend	К	Т	3	3 3					
47. Wachovia Securities 529 Plan	cash A	Interest	K	Т							
148. Wachovia Securities 529 Plan	cash A	Interest	К	Т							
149. IRA - PNC Financial Services	A	Interest	1	Т							
150. Undivided Int. Timberland Che AL	etaw County, G	Rent	Pl	W							
 Undivided Int Timberland Way MS 	ne County, C	Rent	0	w							
 Undivided Mineral Interest Che County, AL 	octaw C	Royalty	L	w							
 Undivided Mineral Interest Cla County, MS 	rk & Wayne D	Royalty	L	w							

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns C1 and D3)

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N =\$250,001 + \$500,000

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

D=\$5,001 - \$15,000

E =\$15,001 - \$50,000

3. Value Method Codes (See Column C2)

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U -Book Value

R =Cost (Real Estate Only) V=Other

5 = Assessment W -Estimated

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets	B. Income during		C. Gross value at end		D. Transactions during reporting period					
(including trust assets) Place "(X)" after each asset exempt from prior disclosure		(2) Type (e.g., div., rent, or int.)	of reporti (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
54. Undivided Mineral interest Mobile County, AL	A	Royalty	J	W	9					
55. IRA - Merrill Lynch	D	Int/Div.	М	Т	ž			42		
56 Cohen & Steers Realty			ii.		Buy	04/06/11	J			
57 Fidelity Advisor New					Buy	04/06/11	K			
58 Invesco Diversified				-	Buy	06/03/11	K			
59 JP Morgan Strategic					Buy	04/06/11	K			
60 Lord Abbett Convertible			NÖ.		Buy	04/06/11	1			
61 Lord Abbett Value			55		Buy	04/06/11	J			
62 Metropolitan West Total		8			Buy	04/06/11	K			
63 MFS Utilities Fd			65		Buy	04/06/11	J	4		
64 Nuveen Mtg Opportunity			TO THE PERSON NAMED IN COLUMN TO THE		Buy	04/06/11	К			
65 Oppenheimer Developing			95		Buy	04/06/11	J			
66 Prudential Jennison		6	8		Buy	04/06/11	K			
67 Ridgeworth Seix Floating					Buy	04/06/11	К			
68 Royce Total Return Fund					Buy	04/06/11	1			
69 Templeton GLBL Bond Fund			\(\text{i}\)		Buy	04/06/11	K			
70 Thornburg International			2		Buy	04/06/11	J			

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns C1 and D3)

F=\$50,001 - \$100,000 J=\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

A =\$1,000 or less

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K -\$15,001 - \$50,000

V =Other

L ~\$50,001 - \$100,000 O =\$500,001 - \$1,000,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 R =Cost (Real Estate Only)

E=\$15,001 - \$50,000 D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T -Cash Market

3. Value Method Codes (See Column C2)

U -Book Value

S =Assessment W -Estimated

C=\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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	VII.	INVESTMENTS ar	d TRUSTS - Inco	me, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	E008485	B. me during ting period		lue at end ng period		Transactio	D. ons during	reporting per	riod
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									

A =\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N =5250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 3. Value Method Codes Q=Appraisal (See Column C2) U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000

V =Other

H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment W-Estimated

C=\$2,501 - \$5,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 -\$5,000,001 - \$25,000,000

T -Cash Market

E=\$15,001 - \$50,000

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FINANCIAL DISCLOSURE REPORT Page 15 of 16 Name of Person Reporting
O'Grady, Liam

O5/29/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

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O'Grady, Liam

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Liam O'Grady

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544